

Docket No. 380-149

Patent

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

MICHAEL J. SHANOR

Serial No.: 10/686,920

Group Art Unit: 1744

Filed: October 16, 2003

Examiner: Terrence R. Till

For: DIRT COLLECTION ASSEMBLY WITH
VOLCANIC AIRFLOW

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope, postage prepaid, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11-30-06
Date of Deposit

Elise D. Bray
Name of Depositor

Edmund Till
Signature of Depositor

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
AFTER MAILING DATE OF FIRST ACTION
(37 C.F.R. § 1.97(c))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. The Information Disclosure Statement transmitted herewith is being filed after a first Office Action on the merits and before a final Office Action, Notice of Allowance or an *Ex Parte* Quayle Action.

2. In accordance with the requirements of 37 C.F.R. § 1.97(c):

A. The \$180.00 fee under 37 CFR § 1.17(p) required by 37 C.F.R. § 1.97(c)(2) may be deducted from Deposit Account No. 11-0978.

B. Applicant hereby requests consideration of the accompanying Information Disclosure Statement.

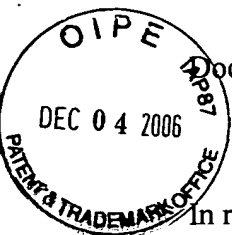
The undersigned authorizes the deduction of any additional necessary fees from Deposit Account No. 11-0978.

Respectfully submitted,

KING & SCHICKLI, PLLC

Warren D. Schickli
Registration No. 31,057

247 North Broadway
Lexington, Kentucky 40507
(859) 252-0889



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Elise D. Bray
Name of Depositor

[Signature]
Signature of Depositor

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant discloses to the Examiner under 37 CFR 1.56, and 37 CFR 1.97-1.98, as revised (1135 OG 13) and effective March 16, 1992, the information listed on the attached form PTO/SB/08A. This information may be found to be material to this invention under the current applicable patent law and as interpreted by the USPTO Rules, as cited above. Review and consideration of the listed references/information during substantive examination of this application is respectfully requested.

Applicant specifically emphasizes that this statement, and/or the act of identifying this information, is not to be construed as an admission that all or any of it is prior art to the specific invention disclosed and claimed. Also, nothing in this statement is to be construed as a

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S.N. 10/683,187

representation that this is the only material information to be found, or the best. If additional material information is discovered in the future, a prompt submission will be made to fulfill applicant's continuing duty of disclosure under 37 CFR 1.56.

Respectfully submitted,

KING & SCHICKLI, PLLC

A handwritten signature in black ink, appearing to read "Warren D. Schickli", with a stylized flourish at the end.

Warren D. Schickli
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Lexington, Kentucky 40507
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DEC 04 2006

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/686,920
Filing Date	October 16, 2003
First Named Inventor	Michael J. Shanor
Art Unit	1744
Examiner Name	Terrence R. Till
Attorney Docket Number	380-149

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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